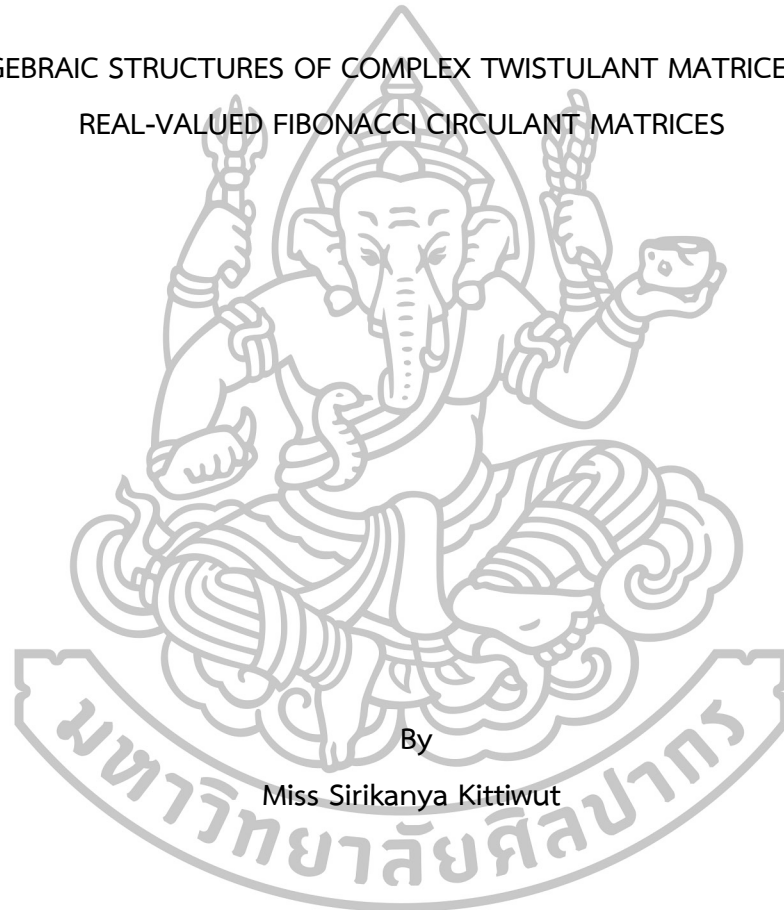




ALGEBRAIC STRUCTURES OF COMPLEX TWISTULANT MATRICES AND
REAL-VALUED FIBONACCI CIRCULANT MATRICES



By

Miss Sirikanya Kittiwut

A Thesis Submitted in Partial Fulfillment of the Requirements for the Degree

Master of Science Program in Mathematics Study

Department of Mathematics

Graduate School, Silpakorn University

Academic Year 2015

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โครงสร้างพีชคณิตของเมทริกซ์จักรบิตเชิงซ้อนและเมทริกซ์จักรฟีโบนักชีค่าจริง



วิทยานิพนธ์นี้เป็นส่วนหนึ่งของการศึกษาตามหลักสูตรปริญญาวิทยาศาสตรมหาบัณฑิต

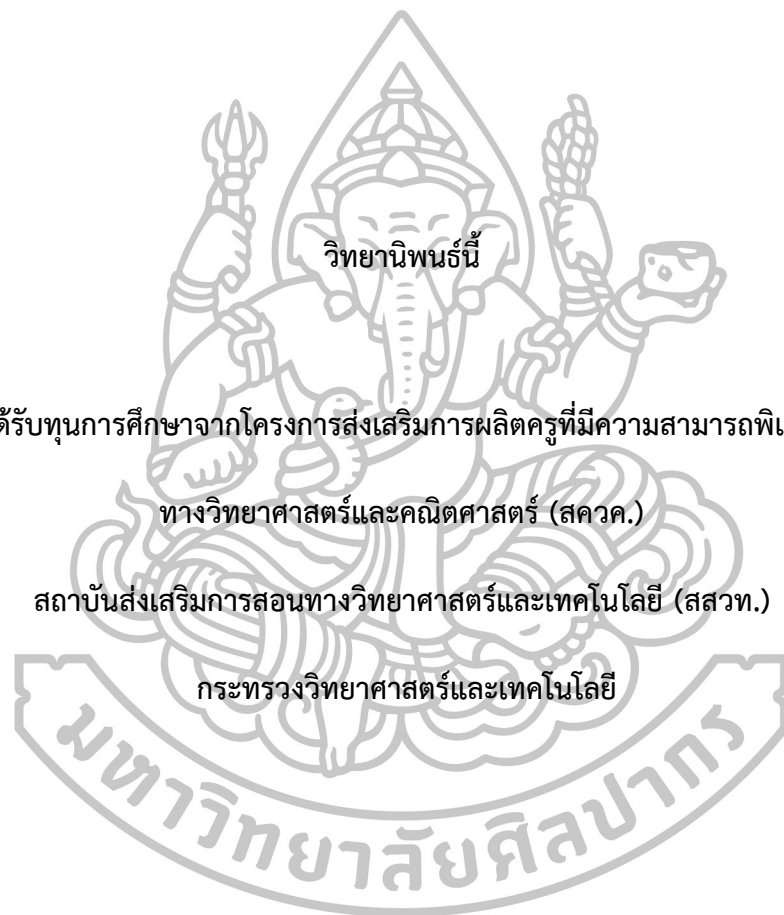
สาขาวิชาคณิตศาสตร์ศึกษา

ภาควิชาคณิตศาสตร์

บัณฑิตวิทยาลัย มหาวิทยาลัยศิลปากร

ปีการศึกษา 2558

ลิขสิทธิ์ของบัณฑิตวิทยาลัย มหาวิทยาลัยศิลปากร



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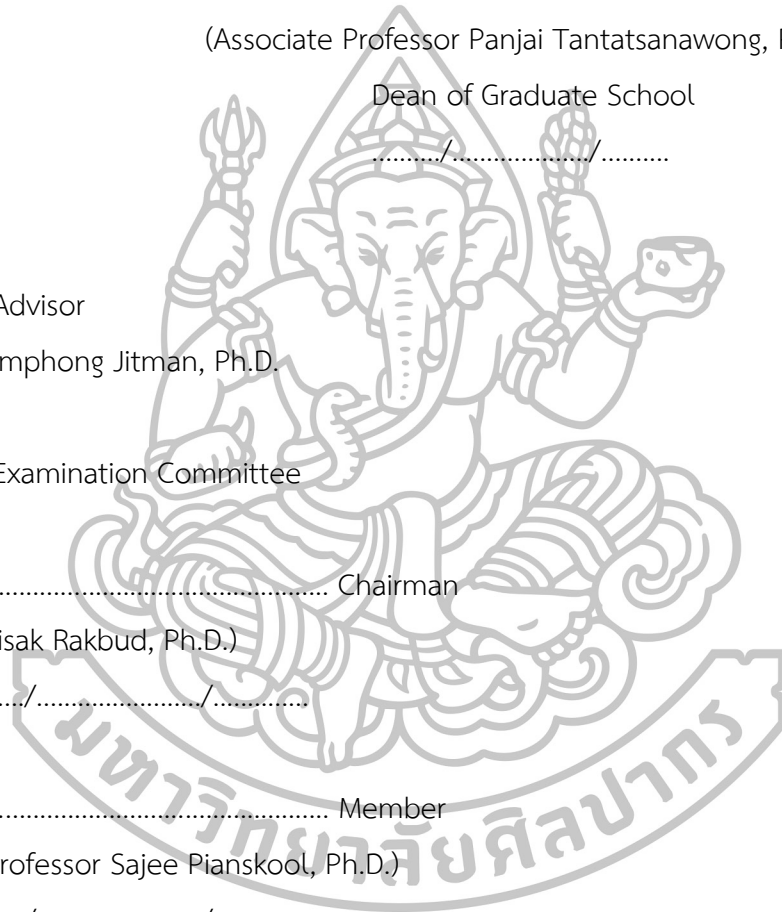
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คำสำคัญ : เมทริกซ์วงจกร / เมทริกซ์วงจกรบิด / เมทริกซ์วงจกรพีโบนักซีค่าจริง / ดีเทอร์มิแนนต์

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เราศึกษาเมทริกซ์วงจกรบิดบนจำนวนเชิงซ้อน สำหรับแต่ละ $z \in \mathbb{C} \setminus \{0\}$ และจำนวนนับ n เราเรียกเมทริกซ์ A ขนาด $n \times n$ บน \mathbb{C} ว่า เมทริกซ์วงจกรบิด $-z$ ถ้า

$$A = \begin{bmatrix} a_0 & a_1 & a_2 & \cdots & a_{n-2} & a_{n-1} \\ za_{n-1} & a_0 & a_1 & \cdots & a_{n-3} & a_{n-2} \\ za_{n-2} & za_{n-1} & a_0 & \cdots & a_{n-4} & a_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ za_1 & za_2 & za_3 & \cdots & za_{n-1} & a_0 \end{bmatrix}$$

สำหรับบางค่า $(a_0, a_1, \dots, a_{n-1}) \in \mathbb{C}^n$ สำหรับกรณี $z=1$ เมทริกซ์วงจกรบิด $-z$ เป็นเมทริกซ์วงจกรสำหรับแต่ละจำนวนเต็มบวก n และ $z \in \mathbb{C} \setminus \{0\}$ เราศึกษาโครงสร้างพีชคณิตและสมบัติต่างๆของเมทริกซ์วงจกรบิด $-z$ ขนาด $n \times n$ ได้ว่า เมทริกซ์วงจกรบิด $-z$ ขนาด $n \times n$ สมสัณฐานกับริงผลหาร $\mathbb{C}[x]/\langle x^n - z \rangle$ แต่ละเมทริกซ์วงจกรบิด $-z$ ขนาด $n \times n$ เป็นเมทริกซ์ซึ่งสามารถทำให้เป็นเมทริกซ์ทแยงมุมได้และสามารถหาค่าดีเทอร์มิแนนต์ของเมทริกซ์นี้ได้โดยง่าย ในขณะเดียวกัน เราศึกษาเมทริกซ์วงจกรพีโบนักซีค่าจริงและหาค่าดีเทอร์มิแนนต์ของเมทริกซ์ดังกล่าวด้วย



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บัณฑิตวิทยาลัย มหาวิทยาลัยศิลปากร

ลายมือชื่อนักศึกษา.....

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ลายมือชื่ออาจารย์ที่ปรึกษาวิทยานิพนธ์

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CIRCULANT MATRIX / DETERMINANT

SIRIKANYA KITTIWUT : ALGEBRAIC STRUCTURES OF COMPLEX TWISTULANT MATRICES AND REAL-VALUED FIBONACCI CIRCULANT MATRICES. THESIS ADVISOR : SOMPHONG JITMAN, Ph.D. 30 pp.

A class of z -twistulant matrices over the complex field \mathbb{C} is studied. Given $z \in \mathbb{C} \setminus \{0\}$ and a positive integer n , an $n \times n$ matrix A over \mathbb{C} is said to be z -twistulant if

$$A = \begin{bmatrix} a_0 & a_1 & a_2 & \cdots & a_{n-2} & a_{n-1} \\ za_{n-1} & a_0 & a_1 & \cdots & a_{n-3} & a_{n-2} \\ za_{n-2} & za_{n-1} & a_0 & \cdots & a_{n-4} & a_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ za_1 & za_2 & za_3 & \cdots & za_{n-1} & a_0 \end{bmatrix}$$

for some $(a_0, a_1, \dots, a_{n-1}) \in \mathbb{C}^n$. It is not difficult to see that a z -twistulant matrix becomes a classical circulant matrix when $z=1$. Given a positive integer n and a non-zero $z \in \mathbb{C}$, the algebraic structure and properties of the set of all $n \times n$ z -twistulant matrices are studied. The set of $n \times n$ complex z -twistulant matrices is isomorphic to the quotient ring $\mathbb{C}[x]/\langle x^n - z \rangle$. Every $n \times n$ z -twistulant matrix is shown to be diagonalizable and its determinant is determined. Subsequently, a real-valued Fibonacci circulant matrix is studied and the determinant of this matrix is determined.

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Student's signature

Academic Year 2015

Thesis Advisor's signature

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Finally, I would like to thank my family for providing me with unfailing support and continuous encouragement throughout my years of study.

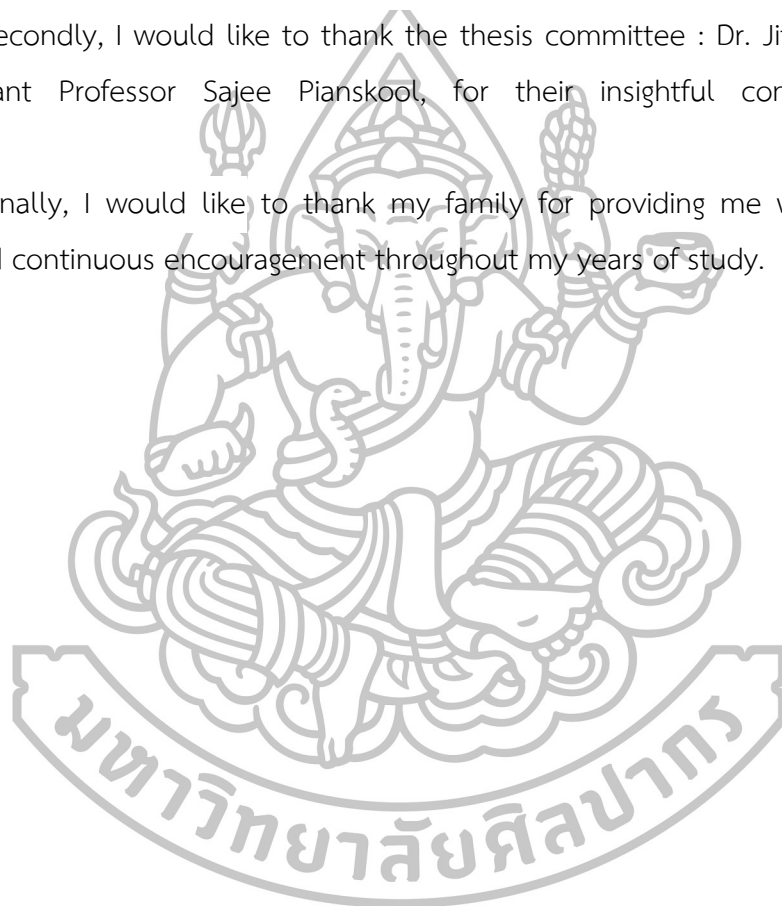
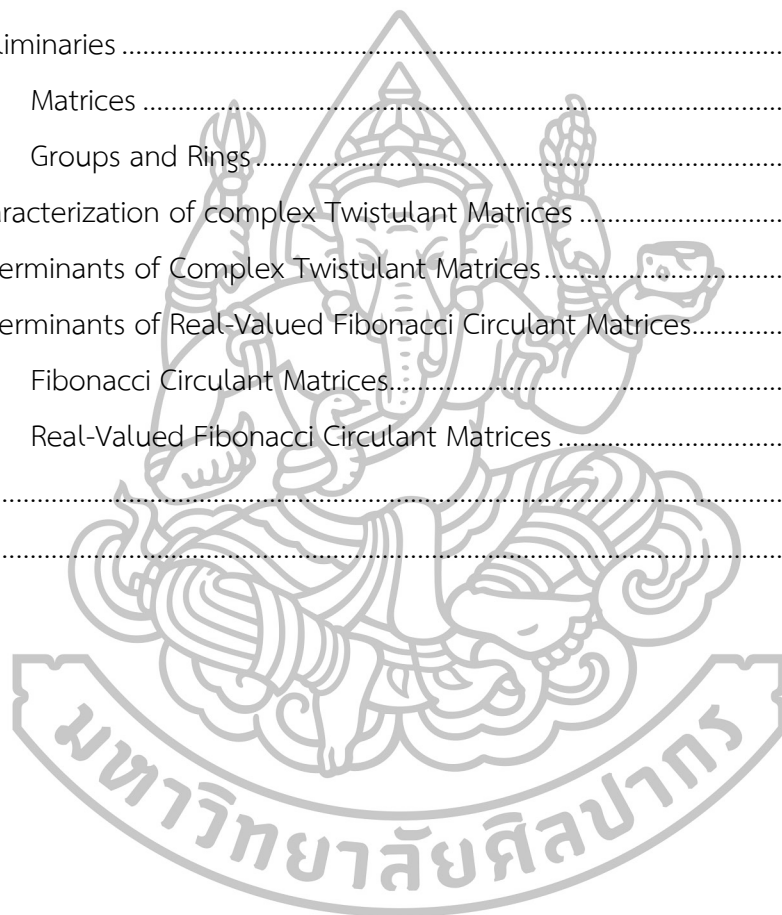


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Chapter 1

Introduction

A *circulant matrix* is an $n \times n$ matrix whose rows are composed of cyclically shifted versions of a list $(a_0, a_1, \dots, a_{n-1})$. Precisely, a circulant matrix is of the form

$$\begin{bmatrix} a_0 & a_1 & a_2 & \dots & a_{n-2} & a_{n-1} \\ a_{n-1} & a_0 & a_1 & \dots & a_{n-3} & a_{n-2} \\ a_{n-2} & a_{n-1} & a_0 & \dots & a_{n-4} & a_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ a_1 & a_2 & a_3 & \dots & a_{n-1} & a_0 \end{bmatrix} =: \text{cir}(a_0, a_1, \dots, a_{n-1}).$$

Circulant matrices are interesting due to their rich algebraic structures and various applications (see [2], [5], [13], [12], [14] and references therein). Such matrices have been applied to various disciplines such as image processing, communications, signal processing, networked systems and coding theory (see, for examples, [13], [12] and [14]).

Circulant matrices have continuously been studied since their first appearance in the paper by Catalan [1]. In 1994, P. J. Davis [2] published the book “Circulant Matrices” which summarizes the algebraic structures, properties and some applications of circulant matrices. Circulant matrices have been shown to be diagonalized by a discrete Fourier transform. Therefore, a linear system whose coefficient matrix is circulant can be quickly solved using a fast Fourier transform. In cryptography, a circulant matrix is used in the Advanced Encryption Standard (AES). In 2009, M. Grassl and T. A. Gulliver [5] discovered that circulant matrices over finite fields can be applied in constructing good codes and

good self-dual codes. In 2015, Y. Zheng and S. Shon [14] studied the inverses of some circulant matrices.

The *Fibonacci sequence* F_r of Fibonacci numbers is defined by the recurrence relation

$$F_r = F_{r-1} + F_{r-2}$$

for all $r \geq 3$ with the initial values $F_1 = 1$ and $F_2 = 1$. A *Fibonacci circulant matrix*, a circulant matrix whose entries are given by Fibonacci numbers, has been studied in [9]. Precisely, an $n \times n$ matrix is called *Fibonacci circulant* if it is of the form $\text{cir}(F_r : n) := \text{cir}(F_r, F_{r+1}, \dots, F_{r+n-1})$ for some positive integers r and n . In [9], D. Lind determined the determinant of Fibonacci circulant matrix $\text{cir}(F_r : n)$.

In this thesis, we focus on a generalization of circulant matrices and a generalization of Fibonacci circulant matrices.

Given a positive integer n and a nonzero complex number z , an $n \times n$ complex matrix A is called a *z -twistulant* [2] if

$$A = \begin{bmatrix} a_0 & a_1 & a_2 & \cdots & a_{n-2} & a_{n-1} \\ za_{n-1} & a_0 & a_1 & \cdots & a_{n-3} & a_{n-2} \\ za_{n-2} & za_{n-1} & a_0 & \cdots & a_{n-4} & a_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ za_1 & za_2 & za_3 & \cdots & za_{n-1} & a_0 \end{bmatrix} =: \text{cir}_z(a_0, a_1, \dots, a_{n-1})$$

for some $(a_0, a_1, \dots, a_{n-1}) \in \mathbb{C}^n$. We note that if $z = 1$, a z -twistulant matrix is just a circulant matrix. A z -twistulant matrix is called *negacirculant matrices* when $z = -1$. Denote by $\text{Cir}_{n,z}(\mathbb{C}) := \{\text{cir}_z(\mathbf{w}) \mid \mathbf{w} \in \mathbb{C}^n\}$ the set of $n \times n$ z -twistulant matrices over \mathbb{C} .

As a generalization of the Fibonacci sequence, F.D. Parker and E. Halsey (see [11] and [6]) introduced a *real-valued Fibonacci function* $F : \mathbb{R} \rightarrow \mathbb{R}$ defined by

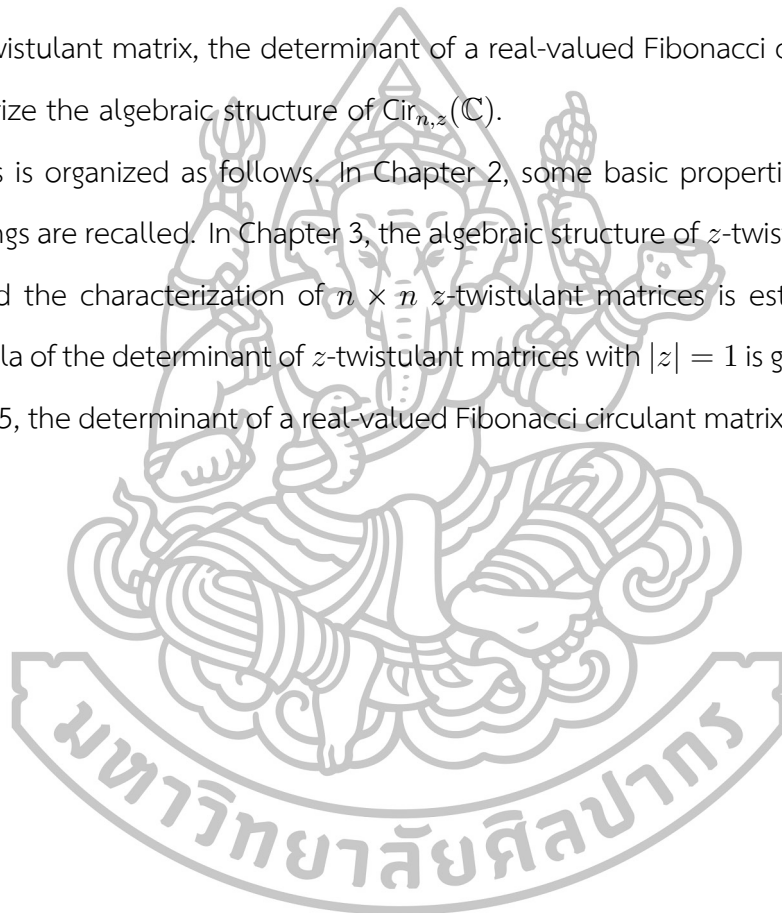
$$F(x) = \frac{\alpha^x - (\cos \pi x)\alpha^{-x}}{\sqrt{5}}$$

where $\alpha = \frac{1+\sqrt{5}}{2}$ for all real numbers x . Note that the restriction of this real-valued Fibonacci function to the set \mathbb{N} of natural numbers is the Fibonacci sequence. A *real-*

valued Fibonacci circulant matrix is a matrix of the form $\text{cir}(F(r) : n) := \text{cir}(F(r), F(r+1), \dots, F(r+n-1))$ for some positive integer n and real number r .

As discussed above, the algebraic structure and the determinant of circulant matrices are given in [2] and [7], respectively. The determinant of Fibonacci circulant matrices is determined in [9]. However, to the best of my knowledge, properties of z -twistulant matrices and the determinant of a real-valued Fibonacci circulant matrix $\text{cir}(F(r) : n)$ have not been well studied. It is therefore of natural interest to determine the determinant of a z -twistulant matrix, the determinant of a real-valued Fibonacci circulant matrix and characterize the algebraic structure of $\text{Cir}_{n,z}(\mathbb{C})$.

The thesis is organized as follows. In Chapter 2, some basic properties of matrices, groups and rings are recalled. In Chapter 3, the algebraic structure of z -twistulant matrices is studied and the characterization of $n \times n$ z -twistulant matrices is established. The explicit formula of the determinant of z -twistulant matrices with $|z| = 1$ is given in Chapter 4. In Chapter 5, the determinant of a real-valued Fibonacci circulant matrix is determined.



Chapter 2

Preliminaries

In this chapter, we recall some basic properties about matrices, groups and rings.

2.1 Matrices

In this section, we recall some special matrices together with their basic properties.

Given a positive integer n , denote by $M_n(\mathbb{C})$ the set of all $n \times n$ complex matrices over \mathbb{C} , where \mathbb{C} denotes the set of complex numbers.

Definition 2.1. A *circulant matrix* is an $n \times n$ matrix whose rows are composed of cyclically shifted versions of a list $(a_0, a_1, \dots, a_{n-1})$. Precisely, a circulant matrix is of the form

$$\begin{bmatrix} a_0 & a_1 & a_2 & \dots & a_{n-2} & a_{n-1} \\ a_{n-1} & a_0 & a_1 & \dots & a_{n-3} & a_{n-2} \\ a_{n-2} & a_{n-1} & a_0 & \dots & a_{n-4} & a_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ a_1 & a_2 & a_3 & \dots & a_{n-1} & a_0 \end{bmatrix} =: \text{cir}(a_0, a_1, \dots, a_{n-1}).$$

Example 2.2. Let $(-3i, 7, -9, 5 + i) \in \mathbb{C}^4$. Then

$$\text{cir}(-3i, 7, -9, 5 + i) = \begin{bmatrix} -3i & 7 & -9 & 5 + i \\ 5 + i & -3i & 7 & -9 \\ -9 & 5 + i & -3i & 7 \\ 7 & -9 & 5 + i & -3i \end{bmatrix}$$

is a 4×4 circulant matrix.

Definition 2.3. For a non-zero $z \in \mathbb{C}$, a matrix $A \in M_n(\mathbb{C})$ is called a z -twistulant if

$$A = \begin{bmatrix} a_0 & a_1 & a_2 & \dots & a_{n-2} & a_{n-1} \\ za_{n-1} & a_0 & a_1 & \dots & a_{n-3} & a_{n-2} \\ za_{n-2} & za_{n-1} & a_0 & \dots & a_{n-4} & a_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ za_1 & za_2 & za_3 & \dots & za_{n-1} & a_0 \end{bmatrix}$$

$$=: \text{cir}_z(a_0, a_1, \dots, a_{n-1})$$

for some $(a_0, a_1, \dots, a_{n-1}) \in \mathbb{C}^n$.

Denote by $\text{Cir}_{n,z}(\mathbb{C}) := \{\text{cir}_z(\mathbf{w}) \mid \mathbf{w} \in \mathbb{C}^n\}$ the set of $n \times n$ z -twistulant matrices over \mathbb{C} .

A z -twistulant matrix is called *circulant* and *negacirculant matrices* if $z = 1$ and $z = -1$, respectively.

Example 2.4. Let $(2, 5i, 3, 2 - i) \in \mathbb{C}^4$. Then

$$\text{cir}_i(2, 5i, 3, 2 - i) = \begin{bmatrix} 2 & 5i & 3 & 2 - i \\ 1 + 2i & 2 & 5i & 3 \\ 3i & 1 + 2i & 2 & 5i \\ -5 & 3i & 1 + 2i & 2 \end{bmatrix}$$

is a 4×4 i -twistulant matrix which is not circulant.

The determinant of a Vandermonde matrix and the following properties of matrices play an important role in determining the determinants of circulant matrices and z -twistulant matrices.

Lemma 2.5 ([10, Chapter 3, Section 3.4, Theorem 2]). *Let $a_0, a_1, a_2, \dots, a_{n-1}$ be complex*

numbers and let $n \geq 2$ be an integer. Then the determinant of a Vandermonde matrix

$$\begin{bmatrix} 1 & 1 & 1 & \dots & 1 & 1 \\ a_0 & a_1 & a_2 & \dots & a_{n-2} & a_{n-1} \\ a_0^2 & a_1^2 & a_2^2 & \dots & a_{n-2}^2 & a_{n-1}^2 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ a_0^{n-1} & a_1^{n-1} & a_2^{n-1} & \dots & a_{n-2}^{n-1} & a_{n-1}^{n-1} \end{bmatrix}$$

is of the form

$$\prod_{1 \leq j < i \leq n} (a_i - a_j).$$

Theorem 2.6 ([8, Chapter 5, Theorem 5]). An $n \times n$ complex matrix A is diagonalizable if and only if the Eigen vectors of A are linearly independent.

2.2 Groups and Rings

In this section, the definitions and some basic properties of groups and rings are recalled.

Definition 2.7. A group is an ordered pair (G, \star) where G is a non-empty set and \star is a binary operation on G satisfying the following axioms:

- i) $(a \star b) \star c = a \star (b \star c)$ for all $a, b, c \in G$, i.e., \star is associative.
- ii) There exists an element e in G , called the *identity* of G , such that $a \star e = e \star a = a$ for all $a \in G$.
- iii) For each $a \in G$, there exists an element a^{-1} in G , called the *inverse* of a , such that $a \star a^{-1} = a^{-1} \star a = e$.

Definition 2.8. A group (G, \star) is called *abelian* (or *commutative*) if $a \star b = b \star a$ for all $a, b \in G$.

Example 2.9. The set $M_2(\mathbb{C})$ of 2×2 matrices over \mathbb{C} is an abelian group under the matrix addition.

Definition 2.10. Let (G, \star) be a group. A subset H of G is called a *subgroup* of G if H is a group under $\star|_{H \times H}$. If H is a subgroup of G , we write $H \leq G$.

Example 2.11. Let $H = \left\{ \begin{bmatrix} a & 0 \\ 0 & a \end{bmatrix} \mid a \in \mathbb{C} \right\}$ and $K = \left\{ \begin{bmatrix} a & b \\ b & a \end{bmatrix} \mid a, b \in \mathbb{C} \right\}$. Then $H \leq M_2(\mathbb{C})$ and $K \leq M_2(\mathbb{C})$.

Definition 2.12. A *ring* R is a non-empty set together with two binary operations $+$ and \cdot (called *addition* and *multiplication*, respectively) satisfying the following axioms for all $a, b, c \in R$:

- i) $a + b = b + a$.
- ii) $(a + b) + c = a + (b + c)$.
- iii) There exists an element 0 in R such that $0 + a = a$.
- iv) For each a in R , there exists an element $-a \in R$ such that $a + (-a) = 0$.
- v) \cdot is associative, i.e., $(a \cdot b) \cdot c = a \cdot (b \cdot c)$.
- vi) The *distributive laws* hold in R , i.e.,

$$(a + b) \cdot c = (a \cdot c) + (b \cdot c)$$

and

$$c \cdot (a + b) = (c \cdot a) + (c \cdot b).$$

A ring R is said to be *commutative* if the multiplication is commutative and it is said to be a *ring with identity* if there exists an element $1 \in R$ such that

$$1 \cdot a = a = a \cdot 1$$

for all $a \in R$.

Example 2.13. The set $M_2(\mathbb{C})$ is a non-commutative ring with identity.

Definition 2.14. Let S be a subset of a ring R . The set S is said to be a *subring* of R if S is a ring under $+$ _{$S \times S$} and \cdot _{$S \times S$} .

Example 2.15. The sets H and K in Example 2.11 are subrings of $M_2(\mathbb{C})$.

Definition 2.16. Let R and S be rings.

(1) A *ring homomorphism* is a map $\varphi : R \rightarrow S$ satisfying the following conditions.

$$i) \quad \varphi(a + b) = \varphi(a) + \varphi(b) \text{ for all } a, b \in R.$$

$$ii) \quad \varphi(ab) = \varphi(a)\varphi(b) \text{ for all } a, b \in R.$$

(2) The *kernel* of a ring homomorphism φ , denoted $\ker\varphi$, is the set of elements of R mapped to 0 in S .

(3) A ring homomorphism $\varphi : R \rightarrow S$ is called a *ring isomorphism* if φ is one-to-one and onto.

Theorem 2.17. A ring homomorphism $\varphi : R \rightarrow S$ is one-to-one if and only if $\ker\varphi = \{0\}$.

Example 2.18. Let $S = \left\{ \begin{bmatrix} a & b \\ -b & a \end{bmatrix} \mid a, b \in \mathbb{C} \right\}$ and let K be defined in Example 2.11.

Then S and K are subrings of $M_2(\mathbb{C})$. Let $\varphi : K \rightarrow S$ be defined by

$$\varphi \left(\begin{bmatrix} a & b \\ b & a \end{bmatrix} \right) = \begin{bmatrix} a & b \\ -b & a \end{bmatrix}.$$

It is not difficult to see that

$$\varphi(A + B) = \varphi(A) + \varphi(B)$$

and

$$\varphi(AB) = \varphi(A)\varphi(B) \text{ for all } A, B \in K.$$

For each $\begin{bmatrix} a & b \\ -b & a \end{bmatrix} \in S$, we have $\varphi \left(\begin{bmatrix} a & b \\ b & a \end{bmatrix} \right) = \begin{bmatrix} a & b \\ -b & a \end{bmatrix}$, and hence, φ is onto.

Let $\begin{bmatrix} a & b \\ b & a \end{bmatrix} \in \ker \varphi$. Then

$$\begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} = \varphi \left(\begin{bmatrix} a & b \\ b & a \end{bmatrix} \right) = \begin{bmatrix} a & b \\ -b & a \end{bmatrix}.$$

It follows that $a = 0$ and $b = 0$. Hence, $\ker \varphi = \left\{ \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} \right\}$. It follows that φ is injective.

Therefore, φ is a ring isomorphism.

Definition 2.19. Let R be a commutative ring and let I be a subset of R . The set I is called an *ideal* of R if

- (1) $(I, +)$ is a group.
- (2) $ar \in I$ for all $r \in R$ and $a \in I$.

Lemma 2.20. Let R be a commutative ring and let $a \in R$. Then

$$aR = \{ar \mid r \in R\} \text{ is an ideal of } R.$$

The ideal aR in Lemma 2.20 is called the *ideal generated* by a , denoted by $\langle a \rangle$.

Example 2.21. Let $\mathbb{C}[x] = \left\{ \sum_{i=0}^n a_i x^i \mid a_i \in \mathbb{C} \text{ and } n \in \mathbb{N} \cup \{0\} \right\}$. Then $\langle x^2 - 1 \rangle$ is an ideal in $\mathbb{C}[x]$ generated by $x^2 - 1$.

Proposition 2.22 ([4, Chapter 7, Proposition 6]). Let R be a commutative ring and let I be an ideal of R . Then the (additive) quotient group R/I is a ring under the binary operations :

$$(r + I) + (s + I) := (r + s) + I$$

and

$$(r + I) \times (s + I) := (rs) + I$$

for all $r, s \in R$.

Definition 2.23. When I is an ideal of R the ring R/I with the operations in Proposition 2.22 is called the *quotient ring* of R by I .

Example 2.24. From Example 2.21, it follows that $\mathbb{C}[x]/\langle x^2 - 1 \rangle$ is a quotient ring.



Chapter 3

Characterization of Complex Twistulant Matrices

In this chapter, the algebraic structure of $\text{Cir}_{n,z}(\mathbb{C})$ is determined in terms of polynomials over \mathbb{C} and $\text{Cir}_{n,z}(\mathbb{C})$ is shown to be a commutative ring with identity. Moreover, $\text{Cir}_{n,z}(\mathbb{C})$ and $\text{Cir}_{n,-z}(\mathbb{C})$ are isomorphic as rings.

Proposition 3.1. *Let n be a positive integer and let $z \in \mathbb{C} \setminus \{0\}$. Then $\text{Cir}_{n,z}(\mathbb{C})$ is a vector space over \mathbb{C} with the usual addition and scalar multiplication of matrices.*

Proof. It is not difficult to see that $\text{cir}_z(\mathbf{a} + c\mathbf{b}) = \text{cir}_z(\mathbf{a}) + c\text{cir}_z(\mathbf{b})$ for all $\mathbf{a}, \mathbf{b} \in \mathbb{C}^n$ and $c \in \mathbb{C}$. Hence, $\text{Cir}_{n,z}(\mathbb{C})$ is a subspace of the complex vector space $M_n(\mathbb{C})$. \square

Theorem 3.2. *Let n be a positive integer and let $z \in \mathbb{C} \setminus \{0\}$. Then $\text{Cir}_{n,z}(\mathbb{C})$ is a subring (with identity) of $M_n(\mathbb{C})$.*

Proof. Clearly, $I_n = \text{cir}_z(1, 0, 0, \dots, 0) \in \text{Cir}_{n,z}(\mathbb{C})$. Let $\mathbf{a} = (a_0, a_1, \dots, a_{n-1})$,

$\mathbf{b} = (b_0, b_1, \dots, b_{n-1}) \in \mathbb{C}_n$. Then

$$\begin{aligned} \text{cir}_z(\mathbf{a}) &= \text{cir}_z(a_0, a_1, \dots, a_{n-1}) \\ &= \begin{bmatrix} a_0 & a_1 & a_2 & \dots & a_{n-1} \\ za_{n-1} & a_0 & a_1 & \dots & a_{n-2} \\ za_{n-2} & za_{n-1} & a_0 & \dots & a_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ za_1 & za_2 & za_3 & \dots & a_0 \end{bmatrix} \end{aligned}$$

and

$$\begin{aligned} \text{cir}_z(\mathbf{b}) &= \text{cir}_z(b_0, b_1, \dots, b_{n-1}) \\ &= \begin{bmatrix} b_0 & b_1 & b_2 & \dots & b_{n-1} \\ zb_{n-1} & b_0 & b_1 & \dots & b_{n-2} \\ zb_{n-2} & zb_{n-1} & b_0 & \dots & b_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ zb_1 & zb_2 & zb_3 & \dots & b_0 \end{bmatrix}. \end{aligned}$$

It is not difficult to see that $\text{cir}_z(\mathbf{a}) - \text{cir}_z(\mathbf{b}) = \text{cir}_z(\mathbf{a} - \mathbf{b}) \in \text{Cir}_{n,z}(\mathbb{C})$. To show that $\text{cir}_z(\mathbf{a})\text{cir}_z(\mathbf{b}) \in \text{Cir}_{n,z}(\mathbb{C})$, let $[c_{i,j}]_{n \times n} := \text{cir}_z(\mathbf{a})\text{cir}_z(\mathbf{b})$. We show that $[c_{i,j}]_{n \times n}$ is a z -twistulant matrix, i.e.,

1. $c_{i,j} = c_{i+1,j+1}$ for all $1 \leq i \leq n-3$ and $i \leq j \leq n-3$,
2. $zc_{i,n-1} = c_{i+1,0}$ for all $0 \leq i \leq n-2$, and $j = n-1$.
3. $c_{i,j} = c_{i+1,j+1}$ for all $1 \leq i \leq n-2$ and $0 \leq j \leq i-1$.

We consider the following 3 cases.

Case 1 $1 \leq i \leq n-3$ and $i \leq j \leq n-3$. We have

$$\begin{aligned} c_{i,j} &= \sum_{k=0}^{-i+j} a_k b_{-i+j-k} + z \sum_{k=-i+j+1}^{n-1} a_k b_{-i+j-k} \\ &= c_{i+1,j+1}. \end{aligned}$$

Case 2 $0 \leq i \leq n - 2$ and $j = n - 1$. We have

$$c_{i,n-1} = \sum_{k=0}^{n-(i+1)} a_k b_{n-(i+1)-k} + z \sum_{k=n-i}^{n-1} a_k b_{n-(i+1)-k}.$$

Hence,

$$\begin{aligned} z c_{i,n-1} &= z \sum_{k=0}^{n-(i+1)} a_k b_{n-(i+1)-k} + z^2 \sum_{k=n-i}^{n-1} a_k b_{n-(i+1)-k} \\ &= c_{i+1,0}. \end{aligned}$$

Case 3 $1 \leq i \leq n - 2$ and $0 \leq j \leq i - 1$. We have

$$\begin{aligned} c_{i,j} &= z \sum_{k=0}^{n-i+j} a_k b_{n-i+j-k} + z^2 \sum_{k=n-i+j+1}^{n-1} a_k b_{n-i+i-k} \\ &= c_{i+1,j+1}. \end{aligned}$$

From the 3 cases, $\text{cir}_z(\mathbf{a})\text{cir}_z(\mathbf{b}) = [c_{i,j}]_{n \times n} \in \text{Cir}_{n,z}(\mathbb{C})$.

Therefore, $\text{Cir}_{n,z}(\mathbb{C})$ is a subring of $M_n(\mathbb{C})$. □

Let $\mathbb{C}[x]$ denote the ring of polynomials over \mathbb{C} and let $\langle f(x) \rangle$ denote the ideal of $\mathbb{C}[x]$ generated by a polynomial $f(x) \in \mathbb{C}[x]$.

Theorem 3.3. *Let n be a positive integer and let $z \in \mathbb{C} \setminus \{0\}$. Then $\text{Cir}_{n,z}(\mathbb{C})$ is isomorphic to $\mathbb{C}[x]/\langle x^n - z \rangle$ as rings.*

Proof. Let $\Psi : \text{Cir}_{n,z}(\mathbb{C}) \rightarrow \mathbb{C}[x]/\langle x^n - z \rangle$ be defined by

$$\Psi(\text{cir}_z(a_0, a_1, \dots, a_{n-1})) = \sum_{i=0}^{n-1} a_i x^i + \langle x^n - z \rangle.$$

Let $\mathbf{a} = (a_0, a_1, \dots, a_{n-1})$, $\mathbf{b} = (b_0, b_1, \dots, b_{n-1}) \in \mathbb{C}^n$. Then

$$\begin{aligned} \Psi(\text{cir}_z(\mathbf{a}) + \text{cir}_z(\mathbf{b})) &= \Psi(\text{cir}_z(a_0 + b_0, a_1 + b_1, \dots, a_{n-1} + b_{n-1})) \\ &= \sum_{i=0}^{n-1} (a_i + b_i) x^i + \langle x^n - z \rangle \\ &= \left(\sum_{i=0}^{n-1} a_i x^i + \langle x^n - z \rangle \right) + \left(\sum_{i=0}^{n-1} b_i x^i + \langle x^n - z \rangle \right) \\ &= \Psi(\text{cir}_z(\mathbf{a})) + \Psi(\text{cir}_z(\mathbf{b})). \end{aligned}$$

To show that $\Psi(\text{cir}_z(\mathbf{a})\text{cir}_z(\mathbf{b})) = \Psi(\text{cir}_z(\mathbf{a}))\Psi(\text{cir}_z(\mathbf{b}))$, let $[c_{i,j}]_{n \times n} := \text{cir}_z(\mathbf{a})\text{cir}_z(\mathbf{b})$. By Theorem 3.2, we have $[c_{i,j}]_{n \times n} \in \text{Cir}_{n,z}(\mathbb{C})$. Hence,

$$\begin{aligned}
\Psi(\text{cir}_z(\mathbf{a})\text{cir}_z(\mathbf{b})) &= \Psi(\text{cir}_z(c_{0,0}, c_{0,1}, \dots, c_{0,n-1})) \\
&= \sum_{k=0}^{n-1} c_{0,k} x^k + \langle x^n - z \rangle \\
&= \sum_{k=0}^{n-1} \left(\sum_{k=i+j} a_i b_j + z \left(\sum_{k=i+j-n} a_i b_j \right) \right) x^k + \langle x^n - z \rangle \\
&= \sum_{k=0}^{n-1} \left(\sum_{k=i+j} a_i b_j \right) x^k + \sum_{k=0}^{n-1} z \left(\sum_{k=i+j-n} a_i b_j \right) x^k + \langle x^n - z \rangle \\
&= \sum_{k=0}^{n-1} \left(\sum_{k=i+j} a_i b_j \right) x^k + \sum_{k=0}^{n-1} \left(\sum_{k+n=i+j} a_i b_j \right) x^{k+n} + \langle x^n - z \rangle \\
&= \sum_{k=0}^{n-1} \left(\sum_{k=i+j} a_i b_j \right) x^k + \sum_{k=n}^{2n-2} \left(\sum_{k=i+j} a_i b_j \right) x^k + \langle x^n - z \rangle \\
&= \sum_{k=0}^{2n-2} \left(\sum_{k=i+j} a_i b_j \right) x^k + \langle x^n - z \rangle \\
&= \left(\sum_{i=0}^{n-1} a_i x^i + \langle x^n - z \rangle \right) \left(\sum_{i=0}^{n-1} b_i x^i + \langle x^n - z \rangle \right) \\
&= \Psi(\text{cir}_z(\mathbf{a}))\Psi(\text{cir}_z(\mathbf{b})).
\end{aligned}$$

Therefore, Ψ is a ring homomorphism.

For each $f(x) + \langle x^n - z \rangle \in \mathbb{C}[x]/\langle x^n - z \rangle$, we have

$$f(x) + \langle x^n - z \rangle = \sum_{i=0}^{n-1} a_i x^i + \langle x^n - z \rangle,$$

where $a_i \in \mathbb{C}$ for all $i = 0, 1, \dots, n-1$, and hence,

$$\Psi(\text{cir}_z(a_0, a_1, \dots, a_{n-1})) = f(x) + \langle x^n - z \rangle.$$

It follows that Ψ is onto.

To show that Ψ is injective, let $\text{cir}_z(a_0, a_1, \dots, a_{n-1}) \in \ker \Psi$. Then

$$\langle x^n - z \rangle = \Psi(\text{cir}_z(a_0, a_1, \dots, a_{n-1})) = \sum_{i=0}^{n-1} a_i x^i + \langle x^n - z \rangle.$$

It follows that $\sum_{i=0}^{n-1} a_i x^i \in \langle x^n - z \rangle$. Since $\deg(\sum_{i=0}^{n-1} a_i x^i) < n$, we have $a_i = 0$ for all $i = 0, 1, \dots, n-1$. Then

$$\text{cir}_z(a_0, a_1, \dots, a_{n-1}) = \text{cir}_z(\mathbf{0}) = [0]_{n \times n}$$

which implies that Ψ is an injective.

Therefore, Ψ is a ring isomorphism. Equivalently, we have $\text{Cir}_{n,z}(\mathbb{C})$ is isomorphic to $\mathbb{C}[x]/\langle x^n - z \rangle$ as rings. \square

Corollary 3.4. Let n be a positive integer and let $z \in \mathbb{C} \setminus \{0\}$. Then $\text{Cir}_{n,z}(\mathbb{C})$ is a commutative subring of $M_n(\mathbb{C})$.

Proof. Note that $\text{Cir}_{n,z}(\mathbb{C})$ is isomorphic to $\mathbb{C}[x]/\langle x^n - z \rangle$ by Theorem 3.3. Since $\mathbb{C}[x]/\langle x^n - z \rangle$ is a commutative ring, we have $\text{Cir}_{n,z}(\mathbb{C})$ is commutative. \square

Example 3.5. Let $\mathbf{a} = (i, 2, -5i, 4)$, $\mathbf{b} = (3, i, -i, 2i) \in \mathbb{C}^4$. Then

$$\begin{aligned} \text{cir}_i(\mathbf{a}) &= \text{cir}_i(i, 2, -5i, 4) \\ &= \begin{bmatrix} i & 2 & -5i & 4 \\ 4i & i & 2 & -5i \\ 5 & 4i & i & 2 \\ 2i & 5 & 4i & i \end{bmatrix} \end{aligned}$$

and

$$\begin{aligned} \text{cir}_i(\mathbf{b}) &= \text{cir}_i(3, i, -i, 2i) \\ &= \begin{bmatrix} 3 & i & -i & 2i \\ -2 & 3 & i & -i \\ 1 & -2 & 3 & i \\ -1 & 1 & -2 & 3 \end{bmatrix}. \end{aligned}$$

It follows that

$$\text{cir}_i(\mathbf{a})\text{cir}_i(\mathbf{b}) = \begin{bmatrix} -8 - 2i & 9 + 10i & -7 - 13i & 15 - 2i \\ 2 + 15i & -8 - 2i & 9 - 10i & -7 - 13i \\ 13 - 7i & 2 + 15i & -8 - 2i & 9 - 10i \\ -10 + 9i & 13 - 7i & 2 + 15i & -8 - 2i \end{bmatrix} = \text{cir}_i(\mathbf{b})\text{cir}_i(\mathbf{a}).$$

We note that the rings $\mathbb{C}[x]/\langle x^n - 1 \rangle$ and $\mathbb{C}[x]/\langle x^n + 1 \rangle$ are isomorphic as rings [3, Proposition 5.1]. Extending this idea, it can be shown that $\mathbb{C}[x]/\langle x^n - z \rangle$ is isomorphic to $\mathbb{C}[x]/\langle x^n + z \rangle$ as rings, and hence, the following result can be obtained.

Theorem 3.6. *Let n be a positive integer, then $\text{Cir}_{n,z}(\mathbb{C})$ is isomorphic to $\text{Cir}_{n,-z}(\mathbb{C})$ as rings.*

Proof. Let n be a positive integer. By Theorem 3.3, it suffices to show that $\mathbb{C}[x]/\langle x^n - z \rangle$ is isomorphic to $\mathbb{C}[x]/\langle x^n + z \rangle$ as rings. Let α be a primitive n th root of -1 .

Let $\varphi : \mathbb{C}[x]/\langle x^n - z \rangle \rightarrow \mathbb{C}[x]/\langle x^n + z \rangle$ be defined by

$$\varphi(f(x) + \langle x^n - z \rangle) = f(\alpha x) + \langle x^n + z \rangle.$$

Let $f(x)$ and $g(x)$ be polynomials in $\mathbb{C}[x]$ be such that

$$f(x) + \langle x^n - z \rangle = g(x) + \langle x^n - z \rangle.$$

Hence,

$$f(\alpha x) + \langle (\alpha x)^n - z \rangle = g(\alpha x) + \langle (\alpha x)^n - z \rangle$$

if and only if

$$f(\alpha x) + \langle x^n + z \rangle = g(\alpha x) + \langle x^n + z \rangle$$

Therefore,

$$f(\alpha x) + \langle x^n + z \rangle = g(\alpha x) + \langle x^n + z \rangle.$$

It follows that φ is well defined and injection.

It is not difficult to verify that φ is surjective and it is a ring homomorphism. Therefore, φ is a ring isomorphism. \square

Chapter 4

Determinants of Complex Twistulant Matrices

In this chapter, the determinant of z -twistulant matrices over the complex field is studied. A special case where $z = 1$, the determinant of circulant matrices is given in [2] and [7]. Here, we consider a general case where z is an arbitrary non-zero complex number.

Let $z \in \mathbb{C} \setminus \{0\}$. Then $z = r(\cos A\pi + i \sin A\pi)$ for some $0 \leq A < 2$ and a positive real number r . For each $0 \leq k < n$, let

$$\omega_k = \cos \frac{2k}{n}\pi + i \sin \frac{2k}{n}\pi$$

and

$$s_k = (\sqrt[n]{r})^k \left(\cos \frac{Ak}{n}\pi + i \sin \frac{Ak}{n}\pi \right).$$

Then ω_k 's are the n th roots of unity.

Example 4.1. Let $n = 4$ and $z = \cos \frac{2\pi}{3} + i \sin \frac{2\pi}{3}$. Then, for each $0 \leq k < 4$, we have

$$\omega_k = \cos \frac{k}{2}\pi + i \sin \frac{k}{2}\pi$$

and

$$s_k = \cos \frac{k}{6}\pi + i \sin \frac{k}{6}\pi.$$

The determinant of circulant matrices as given in [7]. Here, we determined the determinant of z -twistulant matrices in Theorem 4.2. The result in [7] can be viewed as a corollary of our result.

Theorem 4.2. *Let $(a_0, a_1, \dots, a_{n-1}) \in \mathbb{C}^n$ and let $z \in \mathbb{C} \setminus \{0\}$. Then*

$$\det(\text{cir}_z(a_0, a_1, \dots, a_{n-1})) = \prod_{k=0}^{n-1} \left(\sum_{j=0}^{n-1} a_j s_j \omega_j^k \right).$$

Proof. For each integer $j \in \{0, 1, \dots, n-1\}$, let

$$\lambda_j = \sum_{k=0}^{n-1} a_k s_k \omega_k^j$$

and let

$$X_j = \frac{1}{\sqrt{n}} \begin{bmatrix} s_0 \omega_0^j \\ s_1 \omega_1^j \\ \vdots \\ s_{n-1} \omega_{n-1}^j \end{bmatrix}$$

Since

$$s_i s_j = \begin{cases} s_{i+j} & \text{if } 0 \leq i+j < n. \\ z s_{(i+j) \bmod n} & \text{if } n \leq i+j < 2n. \end{cases}$$

Then

$$\begin{aligned} \lambda_j X_j &= \frac{1}{\sqrt{n}} \begin{bmatrix} \left(\sum_{i=0}^{n-1} a_i s_i \omega_i^j \right) s_0 \omega_0^j \\ \left(\sum_{i=0}^{n-1} a_i s_i \omega_i^j \right) s_1 \omega_1^j \\ \vdots \\ \left(\sum_{i=0}^{n-1} a_i s_i \omega_i^j \right) s_{n-1} \omega_{n-1}^j \end{bmatrix} \\ &= \frac{1}{\sqrt{n}} \begin{bmatrix} \sum_{i=0}^{n-1} a_i s_i \omega_i^j \\ z a_{n-1} s_0 \omega_0^j + \sum_{i=1}^{n-1} a_{i-1} s_i \omega_i^j \\ \vdots \\ \sum_{i=0}^{n-2} z a_{i+1} s_i \omega_i^j + a_0 s_{n-1} \omega_{n-1}^j \end{bmatrix} \end{aligned}$$

and

$$\text{cir}_z(a_0, a_1, \dots, a_{n-1})X_j = \frac{1}{\sqrt{n}} \begin{bmatrix} \sum_{i=0}^{n-1} a_i s_i \omega_i^j \\ z a_{n-1} s_0 \omega_0^j + \sum_{i=1}^{n-1} a_{i-1} s_i \omega_i^j \\ \vdots \\ \sum_{i=0}^{n-2} z a_{i+1} s_i \omega_i^j + a_0 s_{n-1} \omega_{n-1}^j \end{bmatrix}.$$

Hence,

$$\text{cir}_z(a_0, a_1, \dots, a_{n-1})X_j = \lambda_j X_j$$

for all $0 \leq j \leq n-1$. Therefore, λ_j is an Eigen value of $\text{cir}_z(a_0, a_1, \dots, a_{n-1})$ and X_j is an Eigen vector corresponding to λ_j .

Let

$$X = \frac{1}{\sqrt{n}} \begin{bmatrix} X_0 & X_1 & \dots & X_{n-1} \\ s_0 & s_0 \omega_0 & \dots & s_0 \omega_0^{n-1} \\ s_1 & s_1 \omega_1 & \dots & s_1 \omega_1^{n-1} \\ s_2 & s_2 \omega_2 & \dots & s_2 \omega_2^{n-1} \\ \vdots & \vdots & \ddots & \vdots \\ s_{n-1} & s_{n-1} \omega_{n-1} & \dots & s_{n-1} \omega_{n-1}^{n-1} \end{bmatrix}$$

Then

$$\begin{aligned} \det(X) &= n^{-\frac{n}{2}} s_0 s_1 \dots s_{n-1} \det \begin{bmatrix} 1 & \omega_0 & \dots & \omega_0^{n-1} \\ 1 & \omega_1 & \dots & \omega_1^{n-1} \\ 1 & \omega_2 & \dots & \omega_2^{n-1} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & \omega_{n-1} & \dots & \omega_{n-1}^{n-1} \end{bmatrix} \\ &= n^{-\frac{n}{2}} s_0 s_1 \dots s_{n-1} \prod_{i>j} (\omega_i - \omega_j). \end{aligned}$$

by Lemma 2.5. Since $n^{-\frac{n}{2}} s_0 s_1 \dots s_{n-1} \neq 0$ and $\prod_{i>j} (\omega_i - \omega_j) \neq 0$, we have $\det(X) \neq 0$. Hence X is a nonsingular matrix. It follows that $\{X_0, X_1, \dots, X_{n-1}\}$ is linearly independent. Therefore, X_0, X_1, \dots, X_{n-1} are linearly independent Eigen vectors of

$\text{cir}_z(a_0, a_1, \dots, a_{n-1})$. By Theorem 2.6, $\text{cir}_z(a_0, a_1, \dots, a_{n-1})$ is diagonalizable and $\text{cir}_z(a_0, a_1, \dots, a_{n-1}) = XDX^{-1}$, where $D = \text{diag}(\lambda_0, \lambda_1, \dots, \lambda_{n-1})$ is a diagonal matrix. Hence,

$$\begin{aligned} \det(\text{cir}_z(a_0, a_1, \dots, a_{n-1})) &= \det(XDX^{-1}) \\ &= \det(X) \det(D) \det(X^{-1}) \\ &= \det(D) \\ &= \lambda_0 \lambda_1 \dots \lambda_{n-1} \\ &= \prod_{k=0}^{n-1} (\lambda_k). \end{aligned}$$

Therefore, we have $\det(\text{cir}_z(a_0, a_1, \dots, a_{n-1})) = \prod_{k=0}^{n-1} \left(\sum_{j=0}^{n-1} a_j s_j \omega_j^k \right)$ as desired. \square

In the case where $z = 1$, we have the following result.

Corollary 4.3 ([9, Equation (3)]). *Let $(a_0, a_1, \dots, a_{n-1}) \in \mathbb{R}^n$. Then*

$$\det(\text{cir}(a_0, a_1, \dots, a_{n-1})) = \prod_{k=0}^{n-1} \left(\sum_{j=0}^{n-1} a_j \omega_k^j \right).$$

where the

$$\omega_k = \cos \frac{2k}{n} \pi + i \sin \frac{2k}{n} \pi$$

are the n^{th} roots of unity for each integer $k \in \{0, 1, \dots, n-1\}$.

Example 4.4. Let $n = 4$, $z = \cos \frac{2\pi}{3} + i \sin \frac{2\pi}{3}$ and $\mathbf{a} = (i, 2, -2i, 4) \in \mathbb{C}^4$. Then $\omega_0 = 1, \omega_1 = i, \omega_2 = -1, \omega_3 = -i, s_0 = 1, s_1 = \frac{\sqrt{3}}{2} + \frac{1}{2}i, s_2 = \frac{1}{2} + \frac{\sqrt{3}}{2}i$ and $s_3 = i$.

By Theorem 4.2, we have

$$\det(\text{cir}_z(\mathbf{a})) = \prod_{k=0}^3 \left(\sum_{j=0}^3 a_j s_j \omega_j^k \right) = (375 + 90\sqrt{3}) + (180 + 48\sqrt{3})i.$$

From the proof of Theorem 4.2, we conclude the following corollaries.

Corollary 4.5. *Let $(a_0, a_1, \dots, a_{n-1}) \in \mathbb{C}^n$ and let $z \in \mathbb{C} \setminus \{0\}$. Then the following statements hold.*

1. *The matrix $\text{cir}_z(a_0, a_1, \dots, a_{n-1})$ is diagonalizable.*

2. The Eigen values of $\text{cir}_z(a_0, a_1, \dots, a_{n-1})$ are $\lambda_k = \sum_{j=0}^{n-1} a_j s_j \omega_j^k$, where $k = 0, 1, \dots, n-1$.
3. For each $0 \leq k \leq n-1$, the Eigen vectors of $\text{cir}_z(a_0, a_1, \dots, a_{n-1})$ corresponding to λ_k is

$$X_k = \frac{1}{\sqrt{n}} \begin{bmatrix} s_0 \omega_0^k \\ s_1 \omega_1^k \\ \vdots \\ s_{n-1} \omega_{n-1}^k \end{bmatrix}.$$

Corollary 4.6. Let n be a positive integer and let $z \in \mathbb{C}$ be such that $|z| = 1$. Then every $n \times n$ z -twistulant matrix is diagonalizable.

Example 4.7. Let $z = \cos \frac{2\pi}{3} + i \sin \frac{2\pi}{3}$. From Example 4.4 and the proof of Theorem 4.2, the Eigen values of $\text{cir}_z(i, 2, -2i, 4)$ are $\lambda_0 = 2\sqrt{3} + 5i$, $\lambda_1 = (3 - \sqrt{3}) + (2 + \sqrt{3}i)$, $\lambda_2 = 3i$ and $\lambda_3 = (-3 - \sqrt{3}) + (2 - \sqrt{3}i)$. The Eigen vectors of $\text{cir}_z(i, 2, -2i, 4)$ are therefore of the forms

$$X_0 = \begin{bmatrix} \frac{1}{2} \\ \frac{\sqrt{3}}{4} + \frac{1}{4}i \\ \frac{1}{4} + \frac{\sqrt{3}}{4}i \\ \frac{i}{2} \end{bmatrix}, X_1 = \begin{bmatrix} \frac{1}{2} \\ -\frac{1}{4} + \frac{\sqrt{3}}{4}i \\ -\frac{1}{4} - \frac{\sqrt{3}}{4}i \\ \frac{1}{2} \end{bmatrix}, X_2 = \begin{bmatrix} \frac{1}{2} \\ -\frac{\sqrt{3}}{4} + \frac{-1}{4}i \\ \frac{1}{4} + \frac{\sqrt{3}}{4}i \\ -\frac{i}{2} \end{bmatrix}$$

and

$$X_3 = \begin{bmatrix} \frac{1}{2} \\ \frac{1}{4} + \frac{-\sqrt{3}}{4}i \\ -\frac{1}{4} + \frac{-\sqrt{3}}{4}i \\ -\frac{1}{2} \end{bmatrix}.$$

Chapter 5

Determinants of Real-Valued Fibonacci Circulant Matrices

In this chapter, we study real-valued Fibonacci circulant matrices. First, we recall the Fibonacci sequence and the determinant of Fibonacci circulant matrices studied in [9]. Finally, we study a real-valued Fibonacci function and determine the determinant of a real-valued Fibonacci circulant matrix.

5.1 Fibonacci Circulant Matrices

In Chapter 2, a circulant matrix, whose rows are composed of cyclically shifted versions of a list $(a_0, a_1, \dots, a_{n-1}) \in \mathbb{C}^n$, is introduced. In this section, we recall some circulant matrices whose entries are from special numbers studied in [9].

Definition 5.1. The *Fibonacci sequence* F_r of Fibonacci numbers is defined by the recurrence relation

$$F_r = F_{r-1} + F_{r-2}$$

for all $r \geq 3$ with the initial values $F_1 = 1$ and $F_2 = 1$.

Example 5.2. The following numbers are the first 7 terms of the fibonacci sequence:

$$F_1 = 1, F_2 = 1, F_3 = 2, F_4 = 3, F_5 = 5, F_6 = 8 \text{ and } F_7 = 13.$$

Definition 5.3. An $n \times n$ Fibonacci circulant matrix is a circulant matrix whose entries are given by n consecutive Fibonacci numbers. Precisely, an $n \times n$ matrix is called *Fibonacci circulant* if it is of the form

$$\text{cir}(F_r : n) := \text{cir}(F_r, F_{r+1}, \dots, F_{r+n-1})$$

for some positive integer r .

Example 5.4. From $F_4 = 3, F_5 = 5, F_6 = 8$ and $F_7 = 13$, we have

$$\text{cir}(F_4 : 4) := \text{cir}(F_4, F_5, F_6, F_7) = \begin{bmatrix} 3 & 5 & 8 & 13 \\ 13 & 3 & 5 & 8 \\ 8 & 13 & 3 & 5 \\ 5 & 8 & 13 & 3 \end{bmatrix}.$$

The determinant of a fibonacci circulant matrix is studied in [9] and the main result is as follows.

Theorem 5.5. Let n and r be natural numbers. Then

$$\det(\text{cir}(F_r : n)) = \frac{(F_r - F_{n+r})^n - (F_{n+r-1} - F_{r-1})^n}{1 - L_r + (-1)^n}.$$

where $L_r = F_{r-1} + F_{r+1}$ is the r^{th} Lucas number.

Lemma 5.6 ([9, Equation (4)]). Let x and y be real numbers. Then

$$\prod_{k=0}^{n-1} (x - y\omega_k) = x^n - y^n.$$

5.2 Real-Valued Fibonacci Circulant Matrices

F. D. Parker [11] and E. Halsey [6] introduced a *real-valued Fibonacci function* $F : \mathbb{R} \rightarrow \mathbb{R}$ defined by

$$F(x) = \frac{\alpha^x - (\cos \pi x)\alpha^{-x}}{\sqrt{5}},$$

where $\alpha = \frac{1+\sqrt{5}}{2}$ for all real numbers x .

Note that the restriction function of the real-valued Fibonacci function to the set \mathbb{N} of natural numbers is the Fibonacci sequence.

Example 5.7. Some values of the real-valued Fibonacci function are given as follows.

$$F\left(\frac{1}{2}\right) = \sqrt{\frac{1+\sqrt{5}}{10}}, F\left(\frac{3}{2}\right) = \sqrt{\frac{(1+\sqrt{5})^3}{40}} \text{ and } F\left(\frac{5}{2}\right) = \sqrt{\frac{(1+\sqrt{5})^5}{160}}.$$

Definition 5.8. A real-valued Fibonacci circulant matrix is a matrix of the form

$$\text{cir}(F(r) : n) := \text{cir}(F(r), F(r+1), \dots, F(r+n-1))$$

for some positive integer n and real number r .

Example 5.9. From Example 5.7 and Definition 5.8, we have

$$\text{cir}\left(F\left(\frac{1}{2}\right) : 3\right) = \begin{bmatrix} \sqrt{\frac{1+\sqrt{5}}{10}} & \sqrt{\frac{(1+\sqrt{5})^3}{40}} & \sqrt{\frac{(1+\sqrt{5})^5}{160}} \\ \sqrt{\frac{(1+\sqrt{5})^5}{160}} & \sqrt{\frac{1+\sqrt{5}}{10}} & \sqrt{\frac{(1+\sqrt{5})^3}{40}} \\ \sqrt{\frac{(1+\sqrt{5})^3}{40}} & \sqrt{\frac{(1+\sqrt{5})^5}{160}} & \sqrt{\frac{1+\sqrt{5}}{10}} \end{bmatrix}.$$

The determinant of a real-valued Fibonacci circulant matrix can be determined as follows.

Theorem 5.10. Let n be a positive integer and let $r \in \mathbb{R}$. Then

$$\det(\text{cir}(F(r) : n)) = \frac{(F(r) - F(n+r))^n - (F(n+r-1) - F(r-1))^n}{1 - (-\alpha^{-1})^n - \alpha^n + (-1)^n}.$$

Proof. For $0 \leq j < n$, let

$$a_j = F(j+r) = \frac{\alpha^{j+r} - (\cos \pi(j+r))\alpha^{-(j+r)}}{\sqrt{5}}.$$

Then

$$\det(\text{cir}(F(r) : n)) = \det(\text{cir}(a_0, a_1, \dots, a_{n-1})).$$

By Corollary 4.3, we have Equation (5.1).

$$\begin{aligned}
& \det(\text{cir}(F(r) : n)) \\
&= \prod_{k=0}^{n-1} \left(\sum_{j=0}^{n-1} F(j+r) \omega_k^j \right) \\
&= \prod_{k=0}^{n-1} \left(\sum_{j=0}^{n-1} \left(\frac{\alpha^{j+r} - (\cos \pi(j+r)) \alpha^{-(j+r)}}{\sqrt{5}} \right) \omega_k^j \right) \\
&= (\sqrt{5})^{-n} \prod_{k=0}^{n-1} \left(\sum_{j=0}^{n-1} (\alpha^r (\alpha \omega_k)^j - (\cos \pi(j+r)) (\alpha^{-r}) (\alpha^{-1} \omega_k)^j) \right) \\
&= (\sqrt{5})^{-n} \prod_{k=0}^{n-1} \left(\frac{\alpha^r (1 - (\alpha \omega_k)^n)}{1 - \alpha \omega_k} - \frac{(\cos \pi r) (\alpha^{-r}) (1 - ((\cos \pi) \alpha^{-1} \omega_k)^n)}{1 - (\cos \pi) \alpha^{-1} \omega_k} \right) \\
&= \prod_{k=0}^{n-1} \frac{(\alpha^r - \alpha^{n+r} \omega_k^n) (1 - (\cos \pi) \alpha^{-1} \omega_k) - ((\cos \pi r) \alpha^{-1} - (\cos \pi (n+r)) \alpha^{-(n+r)} \omega_k^n) (1 - \alpha \omega_k)}{\sqrt{5} (1 - \alpha \omega_k) (1 + (\cos \pi) \alpha^{-1} \omega_k)} \\
&= \prod_{k=0}^{n-1} \frac{\alpha^r - (\cos \pi r) \alpha^{-r} - \alpha^{n+r} + (\cos \pi (n+r)) \alpha^{-(n+r)} - (((\cos \pi) \alpha^{-1} - (\cos \pi r) \alpha^{-(r-1)} + \cos \pi (n+r) \alpha^{-(n+r-1)}) \omega_k)}{\sqrt{5} (1 - \alpha \omega_k) (1 - (\cos \pi) \alpha^{-1} \omega_k)} \\
&= \prod_{k=0}^{n-1} \left(\frac{(\alpha^r - (\cos \pi) \alpha^{-r})}{\sqrt{5}} - \left(\frac{\alpha^{n+r} - (\cos \pi (n+r)) \alpha^{-(n+r)}}{\sqrt{5}} \right) + \left(\frac{\alpha^{r-1} - (\cos \pi (r-1)) \alpha^{-(r-1)}}{\sqrt{5}} \right) - \left(\frac{\alpha^{n+r-1} - (\cos \pi (n+r-1)) \alpha^{-(n+r-1)}}{\sqrt{5}} \right) \right) \omega_k \\
&= \prod_{k=0}^{n-1} \frac{F(r) - F(n+r) + (F(r-1) - F(n+r-1)) \omega_k}{(1 - \alpha \omega_k) (1 - (\cos \pi) \alpha^{-1} \omega_k)}.
\end{aligned} \tag{5.1}$$

Hence,

$$\det(\text{cir}(F(r) : n)) = \prod_{k=0}^{n-1} \frac{F(r) - F(n+r) + (F(r-1) - F(n+r-1))\omega_k}{(1 - \alpha\omega_k)(1 - (\cos \pi)\alpha^{-1}\omega_k)}.$$

By Lemma 5.6, we have

$$\begin{aligned} & \prod_{k=0}^{n-1} (F(r) - F(n+r) + (F(r-1) - F(n+r-1))\omega_k) \\ &= (F(r) - F(n+r))^n - (F(n+r-1) - F(r-1))^n, \end{aligned}$$

and

$$\prod_{k=0}^{n-1} (1 - \alpha\omega_k) = (1 - \alpha^n),$$

$$\prod_{k=0}^{n-1} (1 - (\cos \pi)\alpha^{-1}\omega_k) = (1 - (\cos \pi n)\alpha^{-n}).$$

Hence,

$$\begin{aligned} \prod_{k=0}^{n-1} (1 - \alpha\omega_k)(1 - (\cos \pi)\alpha^{-1}\omega_k) &= (1 - \alpha^n)(1 - (\cos \pi n)\alpha^{-n}) \\ &= 1 - (\cos \pi n)\alpha^{-n} - \alpha^n + \cos \pi n \\ &= 1 - (-1)^n \alpha^{-n} - \alpha^n + (-1)^n \\ &= 1 - (-\alpha^{-1})^n - \alpha^n + (-1)^n. \end{aligned}$$

Therefore, we have

$$\det(\text{cir}(F(r) : n)) = \frac{(F(r) - F(n+r))^n - (F(n+r-1) - F(r-1))^n}{1 - (-\alpha^{-1})^n - \alpha^n + (-1)^n}$$

as desired. \square

Remark 5.11. If r is an integer, we have

$$\det(\text{cir}(F(r) : n)) = \frac{(F_r - F_{n+r})^n - (F_{n+r-1} - F_{r-1})^n}{1 - L_r + (-1)^n} = \det(\text{cir}(F_r : n)).$$

Example 5.12. Consider $F(\frac{3}{2}) = \sqrt{\frac{(1+\sqrt{5})^3}{40}}$, $F(\frac{5}{2}) = \sqrt{\frac{(1+\sqrt{5})^5}{160}}$ and $F(\frac{7}{2}) = \sqrt{\frac{(1+\sqrt{5})^7}{640}}$.

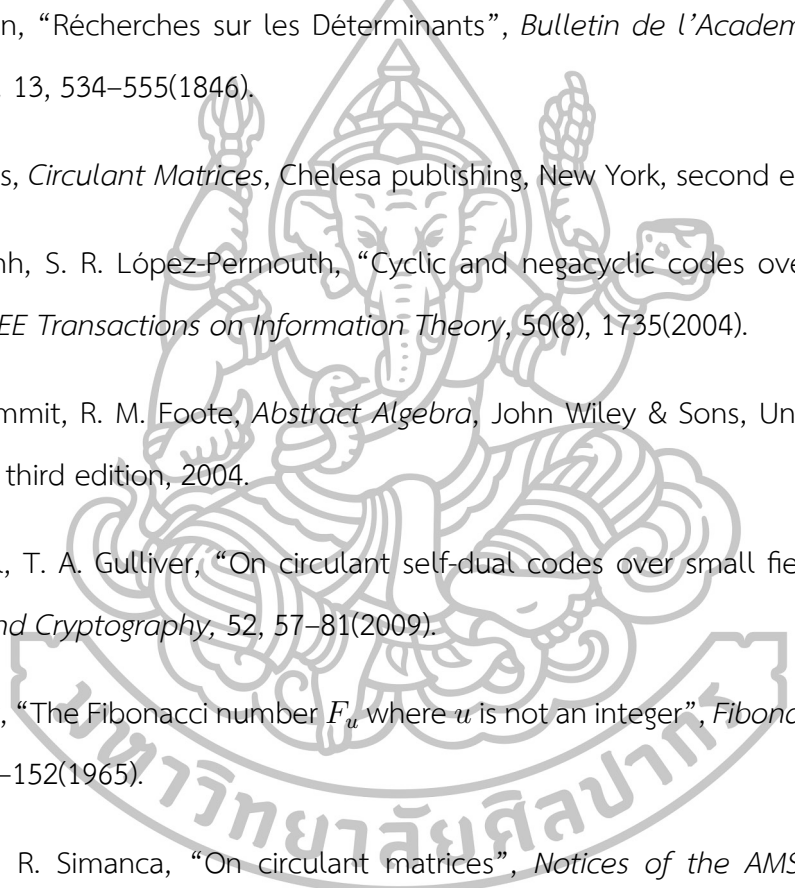
Then, by Theorem 5.10. below, we have

$$\det(\text{cir}(F(\frac{3}{2}), F(\frac{5}{2}), F(\frac{7}{2}) : 3)) \simeq 8.1663.$$

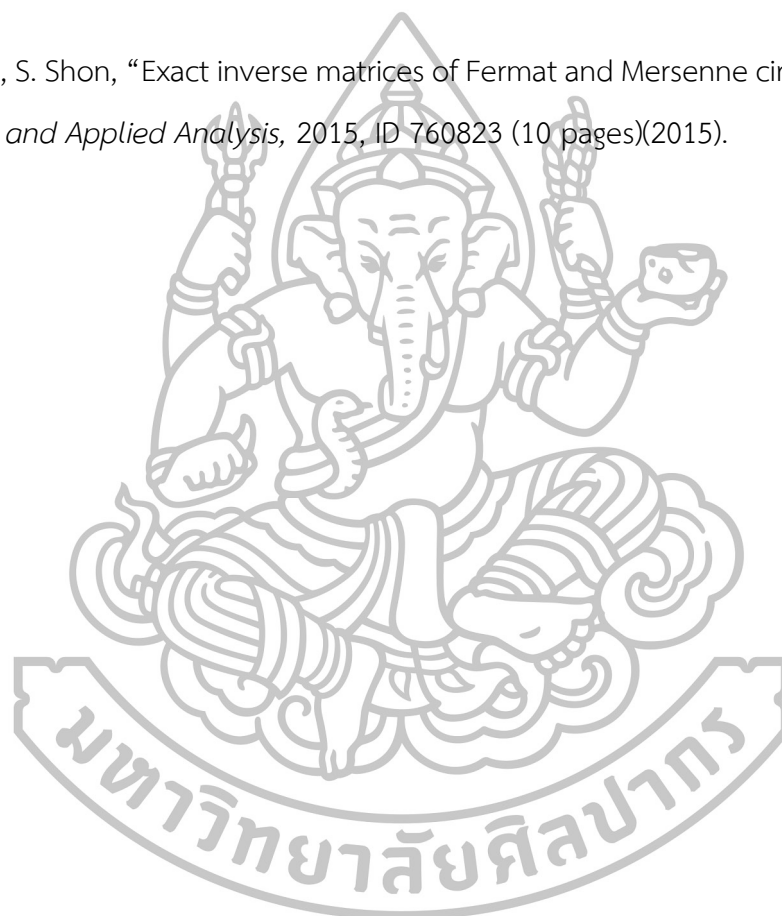
$$\begin{aligned}
\det(\text{cir}(F(\frac{3}{2}) : 3)) &= \frac{(F(\frac{3}{2}) - F(\frac{9}{2}))^3 - (F(\frac{7}{2}) - F(\frac{1}{2}))^3}{1 - (-\alpha^{-1})^3 - \alpha^3 + (-1)^3} \\
&= \frac{\left(\sqrt{\frac{(1+\sqrt{5})^8}{40}} - \sqrt{\frac{(1+\sqrt{5})^9}{2560}}\right)^3 - \left(\sqrt{\frac{(1+\sqrt{5})^7}{640}} - \sqrt{\frac{1+\sqrt{5}}{10}}\right)^3}{-(-\alpha^{-1})^3 - \alpha^3} \\
&= \frac{\alpha^3 \left(\left(\sqrt{\frac{(1+\sqrt{5})^8}{40}} - \sqrt{\frac{(1+\sqrt{5})^9}{2560}}\right)^3 - \left(\sqrt{\frac{(1+\sqrt{5})^7}{640}} - \sqrt{\frac{1+\sqrt{5}}{10}}\right)^3 \right)}{1 - \alpha^6} \\
&= \frac{\left(\frac{1+\sqrt{5}}{2}\right)^3 \left(\left(\sqrt{\frac{(1+\sqrt{5})^3}{40}} - \sqrt{\frac{(1+\sqrt{5})^9}{2560}}\right)^3 - \left(\sqrt{\frac{(1+\sqrt{5})^7}{640}} - \sqrt{\frac{1+\sqrt{5}}{10}}\right)^3 \right)}{1 - \left(\frac{1+\sqrt{5}}{2}\right)^6} \\
&= \frac{8(1 + \sqrt{5})^3 \left(\left(\sqrt{\frac{(1+\sqrt{5})^3}{40}} - \sqrt{\frac{(1+\sqrt{5})^9}{2560}}\right)^3 - \left(\sqrt{\frac{(1+\sqrt{5})^7}{640}} - \sqrt{\frac{1+\sqrt{5}}{10}}\right)^3 \right)}{64 - (1 + \sqrt{5})^6} \\
&\approx 8.1663.
\end{aligned}$$

(5.2)

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